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Patent  
Attorney Docket 4351

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shin Asari, Naoto Tsuji, )  
Takaomi Kurata, Kazuaki Yamauchi )  
Masanori Hashimoto, Michio Ishikawa )  
Masayasu Hirata, Katsuhiko Mori )  
Application No.: (not assigned) )  
Filed: (not assigned) )  
For: PLASMA FILM-FORMING APPARATUS )  
AND CLEANING METHOD FOR THE )  
SAME )

Pittsburgh, Pennsylvania

**NEW APPLICATION TRANSMITTAL**

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the new original patent application of joint inventors Shin Asari, Naoto Tsuji, Takaomi Kurata, Kazuaki Yamauchi, Masanori Hashimoto, Michio Ishikawa, Masayasu Hirata and Katsuhiko Mori entitled PLASMA FILM-FORMING APPARATUS AND CLEANING METHOD FOR THE SAME.

**CERTIFICATION UNDER 37 C.F.R. 1.10**

I hereby certify that this new application transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this 17th day of August, 2001, in an envelope as "Express Mail Post Office to Addressee" mailing label number EJ116543909US addressed to the: Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231.

By: Floyd B. Carothers  
Floyd B. Carothers

Note: Each document referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

Papers which are enclosed as required for the filing date under C.F.R. § 1.53(b) are: 13 pages of specification and a title page preceding page 1, and including 2 pages of claims, pages 12 through 13, incorporating claims 1 through 5. The Abstract of Disclosure appears on page 1. Also enclosed are 6 sheets of formal drawings, incorporating Figures 1 through 6.

The required Declaration and Power of Attorney are enclosed.

A Preliminary Amendment making amendments to the specification and claims is enclosed. The Amendment eliminates grammatical errors and indefinite language.

All correspondence should be sent to Floyd B. Carothers, CAROTHERS AND CAROTHERS, 445 Fort Pitt Boulevard, Suite 500, Pittsburgh, PA 15219.

✓ Assignment from the eight inventors to ULVAC, Inc. is enclosed herewith, together with the appropriate Recordation Request Cover Sheet. Kindly record the Assignment. The Recording Fee of \$40.00 is included with payment of the filing fee as indicated hereinafter.

Applicants claim foreign priority benefits under Title 35 United States Code § 119 under Japanese Patent Application No. 250080/2000, filed on August 21, 2000. A certified copy of the priority document is submitted herewith, together with a translation of

the cover page information. Appropriate formal claim for priority is also made by Applicants in the afore referenced Declaration enclosed.

The filing fee and assignment recording fee combined are in the amount of \$1,020.00, submitted herewith in the form of a single check. The fee was calculated as follows: Basic filing fee for other than a small entity = \$710.00, total claims in excess of 20 = 0 x \$18.00 = 0, independent claims in excess of 3 x \$80.00 = 0, multiple dependent claims = 1 x \$270.00 = \$270.00, plus assignment recording fee of \$40.00 totals \$1,020.00.

Respectfully submitted,

CAROTHERS AND CAROTHERS



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